

**Form ADV Part 2B  
(Brochure Supplements)**



**SAGE MOUNTAIN**

**Item 1. Cover Page**

Scott M. Neu, CFA<sup>®</sup>



**SAGE MOUNTAIN**

945 East Paces Ferry Road NE, Suite 2660

Atlanta, GA 30326

(404) 795-8361

[www.sagemountainadvisors.com](http://www.sagemountainadvisors.com)

March 24, 2026

**This Brochure Supplement provides information about Scott M. Neu that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Scott M. Neu is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Scott M. Neu, Chief Executive Officer**

Year of Birth: 1970

#### Education:

Vanderbilt University, MBA, Business, 2000

University of Wyoming, BS, Electrical Engineering, 1998

#### Business Background:

Sage Mountain Advisors, LLC, Chief Executive Officer, September 2018 – Present

Goldman Sachs, Managing Director, August 2000 – August 2018

#### Professional Designations:

Chartered Financial Analyst®, CFA®

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Neu.

## **Item 4. Other Business Activities**

Mr. Neu serves as Chief Investment Officer and an Investment Committee member for the McMurry Foundation, a family foundation which supports communities within Wyoming in the areas of education, religion, children and advocacy for children, health and human services, the arts and humanities, and favorable business environments.

Mr. Neu serves as a Board Member and Professional Trustee for NERD Fiduciary Management, LLC. NERD is a private family trust company designed to provide fiduciary services to members of a family.

#### **Item 5. Additional Compensation**

No reportable additional compensation

#### **Item 6. Supervision**

Mr. Neu is the Chief Executive Officer of Sage Mountain and is generally responsible for his/her own supervision. Mr. Neu seeks to ensure that investments are suitable for his/her individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by Sage Mountain clients.

Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Neu to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Neu, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Neu.

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Anthony J. Cox



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**This Brochure Supplement provides information about Anthony J. Cox that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Anthony J. Cox is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Anthony J. Cox, President and Chief Investment Officer**

Year of Birth: 1986

#### Education:

Vanderbilt University, Master of Science in Finance, Finance, 2009

University of Kentucky, Bachelor in Business Administration, Finance, 2007

#### Business Background:

Sage Mountain Advisors, LLC, President and Chief Investment Officer, September 2018 – Present

Goldman Sachs, Vice President, August 2009 – August 2018

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Cox.

## **Item 4. Other Business Activities**

Mr. Cox serves as a member on the Synergy OZ Fund Advisory Board. Mr. Cox is a member of the Limited Partner Advisory Committee for BCC Opportunity Fund I, LP.

## **Item 5. Additional Compensation**

No reportable additional compensation

## **Item 6. Supervision**

Scott Neu, Chief Executive Officer, is generally responsible for supervising Mr. Cox's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Cox to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Cox, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Cox.

Christine Phelps, CFP<sup>®</sup>



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**This Brochure Supplement provides information about Christine Phelps that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Christine Phelps is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Christine Phelps, Wealth Advisor**

Year of Birth: 1978

#### Education:

Southern Methodist University, BBA, Finance and Real Estate; BA Latin American Studies, Minor Spanish, 2000

#### Professional Designations:

Emory University, Certified Financial Planner®, (CFP®), 2009

Certified Financial Planners® (CFP®) are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: Bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board ([www.cfp.net](http://www.cfp.net)), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of Apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct, and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct.

#### Business Background:

Sage Mountain Advisors, LLC, Partner and Wealth Advisor, May 2020 – Present

BB&T, Senior Vice President – Wealth Advisor, June 2013 – May 2020

Wells Fargo, Vice President – Senior Private Banker, June 2010 – May 2013

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Phelps.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Phelps is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation

### **Item 6. Supervision**

Scott Neu is generally responsible for supervising Ms. Phelps's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Phelps to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Phelps, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Phelps.

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Will Tuggle, CFA<sup>®</sup>



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**This Brochure Supplement provides information about Will Tuggle that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Will Tuggle is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Will Tuggle, CFA, Wealth Advisor**

Year of Birth: 1985

#### Education:

University of Georgia, Bachelor in Business Administration, Economics, 2007

#### Business Background:

Sage Mountain Advisors, LLC, Wealth Advisor, February 2022 – Present

Goldman Sachs & Co., Private Wealth Advisor, July 2008 – February 2022

#### Professional Designations:

Chartered Financial Analyst®, CFA®

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Tuggle.

## **Item 4. Other Business Activities**

No reportable other business activities

## **Item 5. Additional Compensation**

No reportable additional compensation

## **Item 6. Supervision**

Scott Neu, Chief Executive Officer, is generally responsible for supervising Mr. Tuggle advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Tuggle to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Tuggle, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Tuggle.

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Allison Pickens



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**This Brochure Supplement provides information about Allison Pickens that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Allison Pickens is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Allison Pickens, Director of Client Services**

Year of Birth: 1985

#### Education:

University of Florida, Bachelors, 2008

#### Business Background:

Sage Mountain Advisors, LLC, Director of Client Services, 2018 – Present

Goldman Sachs & Co. LLC, Wealth Management Professional, 2014 – 2018

PNC Investments, Relationship Manager, 2012 – 2014

Merrill Lynch, Financial Advisor, 2012 – 2012

SunTrust Bank, Financial Service Representative, 2010 – 2012

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Ms. Pickens has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Pickens.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Pickens is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation

## **Item 6. Supervision**

Brent Yamaato is generally responsible for supervising Ms. Pickens's advisory activities on behalf of Sage Mountain. The telephone number to reach Brent Yamaato is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Pickens to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Pickens, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Pickens.

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John Russell “Russ” Allen, CFA<sup>®</sup>



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**This Brochure Supplement provides information about Russ Allen that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Russ Allen is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **John Russell Allen, Director of Investment Strategy**

Year of Birth: 1975

#### Education:

University of California at San Diego, Master of Arts, Political Science, 2005

Johns Hopkins University, Master of Arts, International Relations, 2000

Wake Forest University, Bachelor of Arts, History 1997

#### Business Background:

Sage Mountain Advisors, LLC, Director of Investment Strategy, April 2020 – Present

Berman Capital Advisors, LLC, Chief Investment Officer, August 2014 – November 2020

Balentine LLC, Director of Investment Research, May 2013 – August 2014

#### Professional Designations:

Certified Financial Analyst®(CFA®), 2004

Mr. Allen holds the professional designation of Chartered Financial Analyst® (“CFA”). The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Allen.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Allen is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation

### **Item 6. Supervision**

Anthony Cox is generally responsible for supervising Mr. Allen's advisory activities on behalf of Sage Mountain. The telephone number to reach Anthony Cox is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Allen to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Allen, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Allen.

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Taylor Brown



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**This Brochure Supplement provides information about Taylor Brown that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Taylor Brown is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Taylor Brown, Wealth Advisor**

Year of Birth: 1995

#### Education:

Princeton University

Bachelor of Arts, Economics, 2017;

Georgia Tech Scheller College of Business

Master of Business Administration, MBA, Finance, 2023

#### Business Background:

Sage Mountain Advisors, LLC, Wealth Advisor, 07/2024 – Present

Bank of America Merrill Lynch, Private Wealth Relationship Manager, 07/2017 – 07/2024

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Brown.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Brown is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## **Item 6. Supervision**

Scott Neu is generally responsible for supervising Ms. Brown's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Brown to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Brown which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Brown.

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Edward “Ned” Douthat, CFA®



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**This Brochure Supplement provides information about Ned Douthat that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Ned Douthat is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2. Educational Background and Business Experience

### Edward Douthat, Wealth Advisor

Year of Birth: 1983

#### Education:

Emory University—Goizueta School of Business, MBA, 2015

University of Texas, Bachelors, 2006

#### Business Background:

Sage Mountain Advisors, LLC, Wealth Advisor, 2020 – Present

Goldman Sachs & Co. LLC, Private Wealth Advisor, 2015 – 2020

Russell Reynolds Associates, Research Consultant, 2010 – 2013

Ockham Research, LLC, Vice President, 2008 – 2010

Financial/Market Management, Inc., Vice President, 2006 – 2008

#### Professional Designations:

Chartered Financial Analyst®, CFA®

Series 65 – Uniform Investment Advisor Law Examination

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For additional information about this credential, please refer directly to the website of the issuing organization.

Mr. Douthat has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

### **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Douthat.

### **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Douthat is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation

### **Item 6. Supervision**

Scott Neu is generally responsible for supervising Mr. Douthat's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Douthat to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Douthat, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Douthat.

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Ryan Kittrell, CFP<sup>®</sup>, CLU<sup>®</sup>, ChFC<sup>®</sup>



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**This Brochure Supplement provides information about Ryan Kittrell that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Ryan Kittrell is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Ryan Kittrell, Director of Financial Planning**

Year of Birth: 1977

#### Education:

University of Georgia – Athens, Bachelor of Business Administration, Business Management, 2000

#### Professional Designations:

University of Georgia – Atlanta, Certified Financial Planner® (CFP®), 2009

The American College of Financial Services, Chartered Life Underwriter® (CLU®), 2010; and Chartered Financial Consultant® (ChFC®), 2011

Certified Financial Planners® (CFP®) are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: Bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board ([www.cfp.net](http://www.cfp.net)), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of Apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct, and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct.

The Chartered Life Underwriter® (CLU®) is a professional designation awarded by The American College of Financial Services to individuals who specialize in life insurance underwriting and estate planning. Candidates are required to complete eight courses, as well as eight examinations. The designation requires 30 hours of continuing education every year.

The Chartered Financial Consultant® (ChFC®) designation is awarded by The American College of Financial Services. Requirements include three years of full-time business experience within the five years preceding the awarding of the designation; and a high school diploma or the equivalent. Training requirements include completing eight online courses in financial planning, investment strategies, insurance, taxation, retirement, and estate planning. ChFC® holders are required to meet experience requirements, adhere to ethical standards, and complete 30 hours of continuing education every two years, to maintain their designation.

#### Business Background:

Sage Mountain Advisors, LLC, Director of Financial Planning, 05/2022 – Present

Pruco Securities, LLC, Alliance Professional, 02/2010 – 04/2022

Robert E. Kittrell, CPA, PC, Senior Advisor, 05/2000 – 04/2022

### **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Kittrell.

### **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Kittrell is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation.

### **Item 6. Supervision**

Brent Yamaato is generally responsible for supervising Mr. Kittrell's advisory activities on behalf of Sage Mountain. The telephone number to reach Brent Yamaato is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Kittrell to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Kittrell, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Kittrell.

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Ryan Sheffield



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**This Brochure Supplement provides information about Ryan Sheffield that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Ryan Sheffield is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Ryan Sheffield, Portfolio Associate**

Year of Birth: 2000

#### Education:

The Ohio State University, Bachelors, 2022

#### Business Background:

Sage Mountain Advisors, LLC, Portfolio Associate, 1/2026 - PRESENT

Sage Mountain Advisors, LLC, Financial Analyst, 07/2022 – 12/2025

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Mr. Sheffield has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Sheffield.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Sheffield is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Mr. Sheffield's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors

the investments recommended by Mr. Sheffield to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Sheffield, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Sheffield.

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David Pence



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March 24, 2026

**This Brochure Supplement provides information about David Pence that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about David Pence is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **David Pence, VP of Operations**

Year of Birth: 1993

#### Education:

Georgia Southern University  
Bachelor of Science, Sports Management, 2015;  
Minor, Business Administration

#### Business Background:

Sage Mountain Advisors, LLC, VP of Operations, 01/2026- Present  
Sage Mountain Advisors, LLC, Senior Client Associate, 06/2024 – 12/31/2025  
The Mather Group, LLC, Senior Client Services Associate, 01/2020 – 06/2024  
The Daniel Financial Group, LLC, Operations Coordinator, 07/2017 – 12/2019

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Mr. Pence has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Pence.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Pence is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation.

### **Item 6. Supervision**

Brent Yamaato is generally responsible for supervising Mr. Pence's advisory activities on behalf of Sage Mountain. The telephone number to reach Brent Yamaato is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Pence to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Pence which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Pence.

Item 1. Cover Page

Alyzain Lakdawala, CFP®



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March 24, 2026

**This Brochure Supplement provides information about Alyzain Lakdawala that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Alyzain Lakdawala is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2. Educational Background and Business Experience

### Alyzain Lakdawala, Portfolio Associate

Year of Birth: 1997

#### Education:

University of Georgia - Athens, GA

Bachelor of Business Administration, Finance, 2019

Bachelor of Business Administration, Economics, 2020

#### Business Background:

Sage Mountain Advisors, LLC, Portfolio Associate, 1/2026 - PRESENT

Sage Mountain Advisors, LLC, Senior Financial Analyst, 10/2019 – 12/2025

Strategic Blueprint, LLC , Operations Analyst Intern, 05/2018 – 09/2019

#### Professional Designations:

Certified Financial Planner® (CFP®), 2024

Series 65 – Uniform Investment Advisor Law Examination

Certified Financial Planners® (CFP®) are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: Bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board ([www.cfp.net](http://www.cfp.net)), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of Apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct, and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct.

Mr. Lakdawala has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Lakdawala.

#### **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Lakdawala is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

#### **Item 5. Additional Compensation**

No reportable additional compensation.

#### **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Mr. Lakdawala's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Lakdawala to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Lakdawala which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Lakdawala.

Item 1. Cover Page

Jeniah Jeffers



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March 24, 2026

**This Brochure Supplement provides information about Jeniah Jeffers that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Jeniah Jeffers is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Jeniah Jeffers, Portfolio Analyst**

Year of Birth: 2000

#### Education:

Robinson College of Business at Georgia State University

Bachelor of Business Administration, Finance, 2023;

Bachelor of Business Administration, Computer Information Systems, 2023

#### Business Background:

Sage Mountain Advisors, LLC, Portfolio Analyst, 1/2026 to PRESENT

Sage Mountain Advisors, LLC, Financial Analyst, 07/2023 – 12/2025

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Ms. Jeffers has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Jeffers.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Jeffers is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Ms. Jeffers's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Jeffers to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Jeffers which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Jeffers.

Item 1. Cover Page

Tim Byerly



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**This Brochure Supplement provides information about Tim Byerly that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Tim Byerly is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Tim Byerly, Wealth Advisor**

Year of Birth: 1992

#### Education:

Georgia Institute of Technology 01/2012 – 12/2015

#### Business Background:

Sage Mountain Advisors, Wealth Advisor, 04/2023 – Present

Angel Oak Capital Advisors, Senior Vice President | RIA/Institutional Client Advisor, 07/2016 – 03/2023

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Mr. Byerly has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Byerly.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Byerly is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## **Item 6. Supervision**

Scott Neu is generally responsible for supervising Mr. Byerly's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Byerly to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Byerly which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Byerly.

Item 1. Cover Page

John Darby, CFA®



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March 24, 2026

**This Brochure Supplement provides information about John Darby that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about John Darby is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

Year of Birth: 1988

### **John Darby, Senior Investment Associate**

#### Education:

University of Alabama - Birmingham, Bachelor of Science, Marketing, 2010

#### Business Background:

Sage Mountain Advisors, LLC, Senior Investment Associate, 1/2026 to PRESENT

Sage Mountain Advisors, LLC, Senior Portfolio Manager, 01/2023 – 2/2025

CI Brightworth Private Wealth, Portfolio Manager, 10/2022 – 01/2023

Brightworth, Portfolio Manager, 11/2018 – 01/2023

Regions Bank, Investment Analyst, 06/2013-11/2018

#### Professional Designations:

Mr. Darby holds the professional designation of Chartered Financial Analyst® (“CFA®”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Darby.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Darby is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation.

### **Item 6. Supervision**

Anthony Cox is generally responsible for supervising Mr. Darby's advisory activities on behalf of Sage Mountain. The telephone number to reach Anthony Cox is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Darby to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Darby, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Darby.

Anna Esoda, CFA®



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March 24, 2026

**This Brochure Supplement provides information about Anna Esoda that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Anna Esoda is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Anna Esoda, Vice President of Portfolio Management**

Year of Birth: 1990

#### Education:

University of North Carolina – Chapel Hill

Bachelor of Business Administration, Investments, 2012

#### Business Background:

Sage Mountain Advisors, LLC, Vice President of Portfolio Management, 01/2026 – Present

Sage Mountain Advisors, LLC, Senior Portfolio Manager, 02/2022 – 12/2025

Signature FD, Portfolio Management Analyst | Senior Client Transition Manager, 07/2012 – 02/2022

#### Professional Designation:

Ms. Esoda holds the professional designation of Chartered Financial Analyst® (“CFA®”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Ms. Esoda.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Ms. Esoda is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## Item 6. Supervision

Anthony Cox is generally responsible for supervising Ms. Esoda's advisory activities on behalf of Sage Mountain. The telephone number to reach Anthony Cox is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Ms. Esoda to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Ms. Esoda which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Esoda.

Item 1. Cover Page

Jason Howard, CFA<sup>®</sup>, CIPM<sup>®</sup>



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March 24, 2026

**This Brochure Supplement provides information about Jason Howard that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Jason Howard is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2. Educational Background and Business Experience

### Jason Howard, Portfolio Manager

Year of Birth: 1992

#### Education:

University of Georgia – Athens, Bachelor of Business Administration, Finance, 2015

#### Business Background:

Sage Mountain Advisors, LLC, Portfolio Manager, 4/2026-PRESENT

Sage Mountain Advisors, LLC, Senior Investment Associate, 11/2022 – 3/2026

Balentine, LLC, Multi-Family Office Associate, 05/2019 – 11/2022

Mercer, Investment Consulting Associate, 05/2015 – 05/2019

#### Professional Designations:

Mr. Howard holds the professional designation of Chartered Financial Analyst® (“CFA®”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

Mr. Howard holds the **Certificate in Investment Performance Measurement (CIPM®)** designation, a professional credential awarded by CFA Institute that focuses on investment performance measurement, attribution, and ethical standards related to performance reporting.

For additional information about this credential, please refer directly to the website of the issuing organization.

## Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Howard.

## Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Howard is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

#### **Item 5. Additional Compensation**

No reportable additional compensation.

#### **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Mr. Howard's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Howard to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Howard, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Howard.

Item 1. Cover Page

Michael “Hunter” Brooks, CFA®



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March 24, 2026

**This Brochure Supplement provides information about Michael Brooks that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Michael Brooks is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Michael Hunter Brooks, Senior Portfolio Manager**

Year of Birth: 1992

#### Education:

University of South Alabama

B.S, Business Administration, 2013

#### Business Background:

Sage Mountain Advisors, LLC, Senior Portfolio Manager, 2025 – Present

Stadion Money Management, Portfolio Manager, 2023-2025

Savant Wealth Management, Senior Investment Research Analyst, 2023-2023

Capital Directions, LLC, Portfolio Analyst, 2021-2023

Brightworth, Investment Analyst, 2016-2021

Reliance Trust Co., Trading & Income Specialist, 2014-2016

#### Professional Designation:

Mr. Brooks holds the professional designation of Chartered Financial Analyst® (“CFA®”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Brooks.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Brooks is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation

## **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Mr. Brooks's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Brooks to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Brooks, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Brooks.

Item 1. Cover Page

Jeffrey Crow CFP<sup>®</sup>



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March 24, 2026

**This Brochure Supplement provides information about Jeffrey Crow that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Jeffrey Crow is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Jeffrey Crow, Financial Analyst**

Year of Birth: 1990

#### Education:

Mercer University

Master of Science, Mechanical Engineering, 2013

Bachelor of Science, Mechanical Engineering, 2013

#### Business Background:

Sage Mountain Advisors, LLC, Financial Planner, 2025 – Present

Amazon.com, Engineer, 2015-2025

#### Professional Designation:

University of Miami, Certified Financial Planning, 2024

Certified Financial Planner® (CFP®)

Certified Financial Planners® (CFP®) are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: Bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board ([www.cfp.net](http://www.cfp.net)), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of Apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct, and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Crow.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Crow is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation

## **Item 6. Supervision**

Ryan Kittrell is generally responsible for supervising Mr. Crow's advisory activities on behalf of Sage Mountain. The telephone number to reach Ryan Kittrell is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Crow to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Crow, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Crow.

Item 1. Cover Page

Charles “Evan” Daugherty CFA®, CAIA®



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March 24, 2026

**This Brochure Supplement provides information about Charles Daugherty that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Charles Daugherty is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2. Educational Background and Business Experience

Year of Birth: 1990

### Education:

University of Kentucky, 2014  
Bachelor of Business Administration, Finance

### Business Background:

Sage Mountain Advisors, LLC, Wealth Advisor, 2025 – Present  
PNC Bank, Investment Advisor, 2022-2025  
Truist Advisory Services, Inc., Investment Analyst, 2021-2022  
Suntrust Advisory Services, Inc., Investment Analyst, 2019-2021  
Suntrust Bank, Investment Analyst, 2018-2021  
Genspring Family Offices, Investment Analyst, 2017-2017  
Genspring Family Offices, Family Services Coordinator, 2016-2017  
Suntrust Bank, Personal Banker, 2015-2015

### Professional Designations:

Mr. Daugherty holds the **Chartered Financial Analyst (CFA®)** designation, a professional credential awarded by CFA Institute. Earning the CFA® designation requires completion of three comprehensive examinations, relevant investment experience, and ongoing adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Mr. Daugherty holds the **Chartered Alternative Investment Analyst (CAIA®)** designation, a professional credential focused on alternative investments and awarded by the CAIA Association. Earning the designation requires passing two examinations, meeting professional experience requirements, and adherence to the CAIA Association's Code of Ethics and Standards of Professional Conduct.

Mr. Daugherty has passed the **Uniform Investment Adviser Law Examination (Series 65)**, which tests knowledge of investment adviser regulations, fiduciary responsibilities, ethical practices, and investment concepts required to provide investment advisory services.

## Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Daugherty.

## Item 4. Other Business Activities

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Daugherty is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

No reportable additional compensation

### **Item 6. Supervision**

Scott Neu is generally responsible for supervising Mr. Daugherty's advisory activities on behalf of Sage Mountain. The telephone number to reach Scott Neu is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage Mountain monitors the investments recommended by Mr. Daugherty to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Daugherty, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Daugherty.

Item 1. Cover Page

Philip Tarrer



**SAGE MOUNTAIN**

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March 24, 2026

**This Brochure Supplement provides information about Philip Tarrer that supplements the Sage Mountain Advisors, LLC (“Sage Mountain”) brochure. You should have received a copy of that Brochure. Please contact Sage Mountain’s Chief Compliance Officer at (404) 795-8361 if you did not receive Sage Mountain’s brochure or if you have any questions about the contents of this supplement. Additional information about Philip Tarrer is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Educational Background and Business Experience**

### **Philip Tarrer, Portfolio Analyst**

Year of Birth: 2001

#### Education:

Georgia Institute of Technology  
Bachelor of Science, Computer Science, 2024

#### Business Background:

Sage Mountain Advisors, LLC, Portfolio Analyst, 06/2025 – Present  
Recreational Equipment, Inc., Sales Associate, 08/2024 – 06/2025

#### Professional Designations:

Series 65 – Uniform Investment Advisor Law Examination

Mr. Tarrer has passed the Uniform Investment Adviser Law Examination (Series 65), which qualifies individuals to act as investment adviser representatives. The Series 65, administered by FINRA and developed by NASAA, covers topics related to investment strategies, ethics, and state and federal securities regulations. Passing this exam satisfies the qualification requirements for registration as an investment adviser representative, subject to state-specific regulatory approval. Continuing education requirements vary by state. Depending on the state's adoption of the NASAA Model Rule, individuals holding a Series 65 license may be required to complete continuing education.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to Mr. Tarrer.

## **Item 4. Other Business Activities**

Sage Mountain is required to disclose information regarding any investment-related business or occupation in which Mr. Tarrer is actively engaged. Sage Mountain has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

No reportable additional compensation.

## **Item 6. Supervision**

Anna Esoda is generally responsible for supervising Mr. Tarrer's advisory activities on behalf of Sage Mountain. The telephone number to reach Anna Esoda is (404) 795-8361. Sage Mountain supervises its personnel and the investments made in client accounts. Sage

Mountain monitors the investments recommended by Mr. Tarrer to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Sage Mountain periodically reviews the advisory activities of Mr. Tarrer which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Tarrer.